SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934
or Section 30(h) of the Investment Company Act of 1940

☐ Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

☐ Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan for the purchase or sale of equity securities of the issuer that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10.

1. Name and Address of Reporting Person *	2. Issuer Name **and** Ticker or Trading Symbol	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)
Wunsch Scott M (Last) (First) (Middle) C/O STRATA CRITICAL MEDICAL, INC. 666 THIRD AVENUE, 25TH FLOOR (Street) NEW YORK NY 10017 (City) (State) (Zip)	Strata Critical Medical, Inc. [SRTA] 3. Date of Earliest Transaction (Month/Day/Year) 07/30/2026 4. If Amendment, Date of Original Filed (Month/Day/Year)	Director / 10% Owner X Officer (give title below) / Other (specify below) CEO, Logistics 6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Class A common stock, $0.0001 par value per share	07/30/2026		A		9,787[1]	A	$0	672,830	D	
Class A common stock, $0.0001 par value per share	07/30/2026		A		2,658[2]	A	$0	675,488	D	
Class A common stock, $0.0001 par value per share	10/28/2026		A		57,173[3]	A	$0	732,661	D	
Class A common stock, $0.0001 par value per share	10/28/2026		A		57,173[3]	A	$0	789,834	D	
Class A common stock, $0.0001 par value per share	10/28/2026		A		1,416[4]	A	$0	791,250	D	
Class A common stock, $0.0001 par value per share	10/28/2026		F		44,996[5]	D	$4.76	746,254	D	
Class A common stock, $0.0001 par value per share								382,987	I[6]	See Footnotes

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. Represents a grant of Restricted Stock Units ("RSUs") which will be settled in shares of the Issuer's common stock upon vesting. 100% of the RSUs will vest on September 8, 2026, subject to the Reporting Person's continued service to the Issuer. The RSUs represent an award under the Reporting Person's quarterly commission program.

2. Represents a grant of RSUs which will be settled in shares of the Issuer's common stock upon vesting. 100% of the RSUs will vest on December 8, 2026, subject to the Reporting Person's continued service to the Issuer. The RSUs represent an award under the Reporting Person's quarterly commission program.

3. Represents shares that were acquired upon the certification of the Compensation Committee of the Issuer's Board of Directors of the satisfaction of performance criteria underlying an award of performance-based restricted stock units ("PSUs") granted to the Reporting Person on March 20, 2025.

4. Represents a grant of RSUs which will be settled in shares of the Issuer's common stock upon vesting. 100% of the RSUs will vest on March 8, 2027, subject to the Reporting Person's continued service to the Issuer. The RSUs represent an award under the Reporting Person's quarterly commission program.

5. Represents shares withheld by the Issuer to satisfy tax withholding obligations in connection with the vesting of PSUs.

6. Held directly by a limited liability company of which the Reporting Person is the sole member.

Remarks:

/s/ Melissa M. Tomkiel, Attorney-in-fact for Scott M. Wunsch — 10/30/2026

** Signature of Reporting Person — Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, *see* Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.